

Familiarization Programme for Independent Directors for FY 2025 – 26

The Familiarization Programme for Independent Directors aims to familiarize them with the Company, their roles, rights, responsibilities in the Company, nature of industry, business model of the Company etc.

The Board of Directors have complete access to the information within the Company. The Company is regularly updating the directors with presentations or through deliberations on various related matters, where Directors get an opportunity to interact with Senior Management. Presentations and deliberations, inter alia, cover the Company's strategy, business model, operations, debt and capital markets, organization structure, finance, risk management framework, quarterly and annual results, human resources, technology and such other areas as may arise from time to time.

With such presentation the Independent Directors are updated with the Company and its environment.

Independent Directors have the freedom to interact with the Company's management.

During the FY 2025 – 26 , the Independent Directors were updated from time to time on continuous basis on the significant changes in the regulations applicable to Company being a listed Primary Dealer and NBFC by circulating / tabling and deliberating and discussing during the various Board and Committee Meetings, the new regulations, circulars, notifications, amendments issued by the Regulators (RBI, SEBI, Stock Exchanges etc.) including the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and also the role, functions, duties and Responsibilities of Independent Directors and the Board, Regulatory framework of the company and the nature of industry in which company operates, Business Model of the company, its organization structure, risk management systems etc.

The MD&CEO deliberated on all the micro and macro- economic factors impacting the primary dealer business of the company at every Board / Audit Committee and other Committee Meetings.

A Familiarization Programme was held on 06th November, 2025 commenced at 10:00 A.M. and concluded at 05:30 P.M. and the programme continued on 07th November 2025 from 10:00 A.M. and concluded at 01:30 P.M., attended by all the independent directors of the company as on that date.

During this Familiarisation Programme, various sessions on roles and responsibilities of Audit Committee and risk management committee, regulatory requirement fulfillment-gaps-overlaps-expectations, future Boards, Growth-Governance Equation, importance of regulations etc. were held.

Details of attendance of Independent Directors in Familiarization sessions are as follows –

S. No.	Name of Independent Director	No. of Sessions attended		No. of hours spent in sessions attended	
		FY 2025-26	Cumulative till date	FY 2025-26	Cumulative till date
1	Dr. Tejendra Mohan Bhasin	2	10	11 Hours	25 Hours
2	Sh. Gopal Singh Gusain	2	3	11 Hours	12.5 Hour
3	Sh. Raj Kamal Verma	2	3	11 Hours	12.5 Hour
4	Dr. Rekha Jain	2	3	11 Hours	12.5 Hour